WAN 1 0 2004 BY

Docket No.: 50325-0855

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 4990

Sunil K. Srivastava

Group Art Unit No.: 2131

Serial No.: 10/715,721

Examiner: NYA

Filed on: November 17, 2003

For: PROCESSING METHOD FOR KEY EXCHANGE

AMONG BROADCAST OR MULTICAST GROUPS THAT PROVIDES A MORE EFFICIENT SUBSTITUTE FOR DIFFIE-

HELLMAN KEY EXCHANGE

PRELIMINARY AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please amend the application as follows.

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2004

Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28

| Complete if Known | | | | | | |
|----------------------|---------------------|--|--|--|--|--|
| Application Number | 10/715,721 | | | | | |
| Filing Date | November 17, 2003 | | | | | |
| First Named Inventor | Sunil K. Srivastava | | | | | |
| Examiner Name | Not Yet Assigned | | | | | |
| Group/Art Unit | 2131 | | | | | |
| Attorney Docket No. | 50325-0855 | | | | | |

| | | - | | | | | | |
|---|-------------|-----------------------------------|----------------------------|-----------|--|---|------------|--|
| TOTAL AMOUNT OF PAYMENT (\$) 0.00 | | Attorney Docket No. | | | 50325-0855 | 50325-0855 | | |
| METHOD OF PAYMENT (check one) | | | | FEE | CALCULATION | (continued) | | |
| Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed. | | ADDIT le Entity Fee (\$) | Small I Fee Code | | Fee Desc | ription | Fee Paid | |
| Deposit Account 50-1302 | | 1 130 | 2051 | 65 | Surcharge – late filing | rcharge - late filing fee or oath | | |
| lumber | 105 | 2 50 | 2052 | 25 | Surcharge – late prov | risional filing fee or | | |
| Deposit Account Name -Hickman Palermo Truong & Becker, LLP | | 3 130 | 1053 | 130 | Non-English specifica | ation | | |
| alle | 181 | 2 2,520 | 1812 | 2,520 | For filing a request fo | r reexamination | | |
| 2. Payment Enclosed: | | 4 920° | 1804 | 920* | Requesting publication | equesting publication of SIR prior to carrier action | | |
| Check Money Other | 180 | 5 1,840* | 1805 | 1,840* | Requesting publication Examiner action | equesting publication of SIR after caminer action | | |
| 3. Applicant(s) is entitled to small entity status. | | 1 110 | 2251 | 55 | Extension for reply w | ithin first month | | |
| See 37 CFR 1.27. FEE CALCULATION | | 2 420 | 2252 | 210 | Extension for reply w | ithin second month | | |
| | | 3 950 | 2253 | 475 | Extension for reply w | tension for reply within third month | | |
| BASIC FILING FEE | 125 | | 2254 | 740 | Extension for reply w | | | |
| arge Entity Small Entity se Fee Fee Fee Fee Description | 125 | | 2255 | 1005 | Extension for reply w | | | |
| ode (\$) Code (\$) Fee Paid | _ | | 2401 | 165 | Notice of Appeal | | | |
| 001 770 2001 385 Utility filing fee | 140 140 | | 2401 | 165 | Filing a brief in suppo | ort of an appeal | | |
| 002 340 2002 170 Design filing fee | 140 | | 2402 | 145 | Request for oral hear | • • | | |
| 003 530 2003 265 Plant filing fee | 145 | | 1451 | 1,510 | • | public use proceeding | | |
| 004 770 2004 385 Reissue filing fee 2005 160 2005 80 Provisional filing fee | 145 | | 2452 | 55 | Petition to revive – ur | navoidable | | |
| SUBTOTAL (1) (\$) 0.00 | 145 | 3 1330 | 2453 | 665 | Petition to revive - ur | nintentional | | |
| 2. EXTRA CLAIM FEES | | 1 1330 | 2501 | 665 | Utility issue fee (or re | tility issue fee (or reissue) | | |
| Highest Extra Fee from Paid Claims Claims Below Fee Pa | aid 150 | 2 480 | 2502 | 240 | Design issue fee | | | |
| otal Claims 28 - 28 0 x 18.00 = 0.00 | 150 | 3 640 | 2503 | 320 | Plant issue fee | | | |
| dependent 5 - 5 0 X 86.00 = 0.00 | 146 | 0 130 | 1460 | 130 | Petitions to the Com | missioner | | |
| Multiple Dependent = | | 7 50 | 1807 | 50 | Petitions related to p | atitions related to provisional applications | | |
| or number previously paid, if greater; For Reissues, see be | low 180 | 6 180 | 1806 | 180 | Submission of inform | ation Disclosure Stmt | | |
| arge Entity Small Entity the Fee Fee Fee Fee Description the (\$) Code (\$) | 802 | 1 40 | 8021 | 40 | Recording each pate property (times numb | nt assignment per per of properties) | | |
| 1202 18 2202 9 Claims in excess of 20 | 180 | 9 770 | 2809 | 385 | (37 ČFR § 1.129(a)) | iling a submission after final rejection 7 CFR § 1.129(a)) | | |
| 1201 86 2201 43 Independent claims in excess 3 | | 0 770 | 2810 | 385 | For each additional in examined (37 CFR § | | | |
| 1203 290 2203 145 Multiple dependent claim, if not | | | | | | | | |
| 1204 86 2204 43 **Reissue independent claims over original patent | | Other fee (specify) | | | | | | |
| 1205 18 2205 9 **Reissue claims in excess o and over original patent | of 20 Other | Other fee (specify) | | | | | | |
| SUBTOTAL (2) (\$) 0.00 | *Red | duced by E | Basic Fil | ing Fee I | Paid SUBTOT | AL (3) (\$) | 0.00 | |
| SUBMITTED BY | | | | | | | | |
| Name (Print/Type) Christian A. Nicholes | | | Registratio (Attorney/A | n No. 5 | 50,266 | Telephone (408 |) 414-1080 | |
| 11 11 11 11 | | | | 27.W. 1. | | a d Mari | S 2004 | |

Signature WARNING:

Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.